

OncoTherapy Science, Inc.

October 23, 2018

Announcement of the presentation of interim results for exploratory study of peptide vaccine S-588410 at European Society for Medical Oncology 2018 Congress.

OncoTherapy Science, Inc. (President & CEO: Kazuo Yamamoto; hereinafter, “OncoTherapy”) announces that interim results for exploratory study of peptide vaccine S-588410 were presented a poster entitled “Interim results from exploratory study to determine S-588410-induced tumor infiltrating lymphocytes and changes in the tumor microenvironment in esophageal cancer patients” at European Society for Medical Oncology 2018 Congress (ESMO2018), Munich, Germany, on 20<sup>th</sup> October 2018 (local time).

S-588410 is cancer peptide vaccine licensed out from OncoTherapy to Shionogi & Co.,Ltd.. It composed of five HLA-A\*24:02 restricted peptides derived from five cancer-testis antigens: DEPDC1, MPHOSPH1, URLC10, CDCA1 and KOC1.

In this study, tumor tissues of pre- and post-treatment were collected to analyzing tumor-infiltrating lymphocytes, PD-L1 and target antigens. The short-term treatment with S-588410 generated peptide-specific CTL and markedly increased CD8 TIL density and PD-L1 expression on tumor tissue of esophageal cancer patients. These interim results suggest that the combination of S-588410 with anti-PD-1/PD-L1 antibody is expected to be more effective than monotherapy, particularly in patients with low TIL/PD-L1 status.

[Summary of the presentation]

The aim of this study is evaluating the effects of S-588410 on the number of tumor-infiltrating CD8-positive lymphocytes (TIL) and PD-L1 expression in the tumor tissue before and after vaccination. Total 15 HLA-A\*24:02-positive patients with esophageal cancer were enrolled in this study. The first half of the patients (eight patients) who received three to six vaccinations with S-588410 were analyzed. Peptide-specific cytotoxic T lymphocytes were induced in eight patients after vaccination. IHC analysis demonstrated that TIL density and PD-L1 expression on post-vaccine tissues clearly increased compared to the baseline; CD8 TIL density at baseline was  $\leq$  1% in 5 patients and 1%-10% in 3 patients and that for post-vaccine was 1%-10% in 2 patients, 10%-50% in 6 patients, and PD-L1 expression at base line was  $\leq$  1% in 7 patients and 1%-5% in 1 patient and that for post-vaccine was  $\leq$  1% in 1 patient, 1%-5% in 4 patients and 5%-50% in 3 patients. The number of tumor-infiltrating T lymphocytes and PD-L1 expression in tumor tissue are important factor on action mechanism of anti-PD-1 / PD-L1 antibody. These interim results suggest that the combination

therapy of S-588410 with anti-PD-1 / PD-L1 antibody has the possibility of generating a synergistic effect.